Financial Compliance Officer Resume

Job Objective

Seeking a Financial Compliance Officer position where my skills and experience can assist in growth of the organization.

Highlights of Qualifications:

- Huge experience with accounting and financial systems in a complex setting
- Solid knowledge of strategic financial planning, financial and investment
- Extensive knowledge of debt financing, cash flow management and investment strategies
- Familiarity with federal consumer lending compliance statutes and regulations
- Proficient with consumer deposit account compliance statutes and regulations
- Strong ability to multitask in a fast paced and dynamic work environment
- Exceptional ability to work with minimal supervision

Professional Experience:

Financial Compliance Officer
UBS Financial Services, Chicago, IL
August 2005 – Present

- Detected and prevented illegal, improper and unethical conduct in the organization.
- Communicated with other departmental staff to discuss with them the compliance issues.
- Obtained corporate attorney's legal advice in dealing with difficult and unresolved issues.
- Carried out diversified role of Reinvestment Act Officer, and Bank Security and Safety Officer.
- Performed roles of Bank Secrecy and Privacy Officer.
- Reviewed and updated compliance standards to ensure continuity of financial services.

Financial Compliance Officer
ING Financial Services, Chicago, IL
May 2000 – July 2005

- Supervised all borrowing and cash management functions.
- Provided financial accounting and reporting support for all institute funds and sponsored programs.
- Served as the Institute's bond compliance officer.
- Planned and executed financial transactions of the Institute, ensuring adequate cash balance.
- Ensured all activities are in compliance with finance, accounting and regulatory standards.
- Provided financial information to support strategic financial analysis and decision-making process.

Education:

Bachelor's Degree in Business Administration
Mississippi College, Clinton, MS

Build your Resume Now