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## Prime Broker Resume

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### Job Objective

Searching for the opportunity to work as a Prime Broker with growing company in which my abilities will be an asset to company.

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### Qualifications:

- Strong a experience in financial services, and clearing firm
  - Deep knowledge of clearing, prime brokerage and hedge funds
  - Exceptional knowledge of FHA, VA, FNMA and FHLMC guidelines and standards
  - Proficient in MS Office suite, basic SQL, S Plus, Matlab and Perl
  - In-depth knowledge of broker dealer regulation and legal issues relating to prime brokerage and securities lending.
  - Extensive analytical and technical skills
  - Strong presentation and communications skill
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### Professional Experience:

Prime Broker, August 2005 – Present  
TheLadders.com, West Osbornville, NJ

- Provided regulatory guidance and advised Prime Services business and support areas.
- Conducted research of rules, regulations relating to Prime services asset classes and business.
- Managed and resolved issues that impact Prime Services.
- Developed and implemented policies and procedures for all aspects of Prime Services.
- Liaised with Regulatory Affairs or directly with Regulators.
- Supported trade operations and resolved disputes.

Prime Broker, May 2000 – July 2005  
Sharp Decisions, Inc., West Osbornville, NJ

- Managed sophisticated client issues with the ability to solve problems and enhance client trading, operations and accounting relationships.
  - Handled client requests and cross sold firm products.
  - Managed trade verification, confirmation settlement, accounting and reconciliation of equity, option, fixed income, swaps and restricted stock transactions.
  - Maintained records of new and existing clients.
  - Supported trade operations.
  - Resolved disputes.
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### Education:

Bachelor's Degree in Business Administration, Oglethorpe University, Atlanta, GA

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