
Stock Broker Resume

Job Objective

Seeking the opportunity to utilize my skills as an experienced Stock Broker while helping company excel and meet long-term goals.

Qualifications:

- Extensive experience with online brokerage industry
 - Outstanding knowledge of financial and stock market trading business
 - Familiarity with financial derivatives (credit, interest rate, and futures)
 - Sound knowledge of International stock purchase and equity plan design and administration
 - Good knowledge of securities processing and financial markets
 - Solid understanding of DFM/ADX regulations and compliance
 - Proficient with Equity Edge software, FAS123R and stock administration software
 - Ability to manage multiple tasks, priorities and deadlines.
 - Excellent writing skills, strong verbal and interpersonal communication skills
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Experience:

Stock Broker, August 2005 – Present
Scottrade, Inc., Acton, MA

- Established and maintained new accounts.
- Executed trades for customers requested via walk-in and over the phone.
- Provided stock quotes and news to customers.
- Accepted customer checks and stock certificates.
- Followed up on pending ACATS transfers.
- Handled customers inquiries related to website and assisted customers when the internet is down.
- Educated customers as to the workings of the market.

Stock Broker, May 2000 – July 2005
Axa Equitable, Acton, MA

- Developed and maintained client base.
 - Updated client's plan and acquired new clients on an ongoing basis.
 - Determined changes in client's financial status.
 - Provided knowledgeable, objective equity and fixed income guidance and customized strategies to consumer.
 - Worked with margin accounts, give buying power, and balances.
 - Followed up on leads from potential customers.
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Education:

Bachelor's Degree in Finance, Oglala Lakota College, Kyle, SD

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